## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 6-K

# REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of July, 2024

001-41208 (Commission File Number)

## **NOVONIX LIMITED**

(Translation of registrant's name into English)

Level 38 71 Eagle Street Brisbane, QLD 4000 Australia (Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F. Form 20-F  $\boxtimes$  Form 40-F  $\square$ 

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

## EXHIBIT INDEX

Exhibit No. Description

Exhibit 99.1 Appendix 2A (Application for quotation of securities) dated July 16, 2024

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## NOVONIX LIMITED

By: <u>/s/ Dr. John Christopher Burns</u> Dr. John Christopher Burns Chief Executive Officer

Date: July 16, 2024

Announcement Summary

## Entity name

NOVONIX LIMITED

Announcement Type

New announcement

## Date of this announcement

Tuesday July 16, 2024

The +securities to be quoted are: +Securities issued, transferred or re-classified as a result of options being exercised or other +convertible securities being converted Total number of +securities to be quoted

ASX +security		Number of +securities to	
code	Security description	be quoted Issue date	
NVX	ORDINARY FULLY PAID	3,415,759 16/07/2024	
Refer to next pag	e for full details of the announcement		

Appendix 2A - Application for quotation of securities

## EXHIBIT 99.1

Part 1 - Entity and announcement details

## 1.1 Name of entity

## NOVONIX LIMITED

We (the entity named above) apply for +quotation of the following +securities and agree to the matters set out in Appendix 2A of the ASX Listing Rules.

## **1.2 Registered number type** ACN

Registration number 157690830

1.3 ASX issuer code NVX

1.4 The announcement is New announcement1.5 Date of this announcement 16/7/2024

Appendix 2A - Application for quotation of securities

## Part 2 - Type of Issue

#### 2.1 The +securities to be quoted are:

+Securities issued, transferred or re-classified as a result of options being exercised or other +convertible securities being converted

#### 2.2 The +class of +securities to be quoted is:

Additional +securities in a class that is already quoted on ASX ("existing class")

Appendix 2A - Application for quotation of securities

Part 3B - number and type of +securities to be quoted (existing class) where issue has not previously been notified to ASX in an Appendix 3B

NVXAA : OPTION EXPIRING VARIOUS DA		
NVAAA . OP HON EXPIRING VARIOUS DA	NES EX VARIOUS PRICES	
TO (Existing Class) ASX +security code and description		
NVX : ORDINARY FULLY PAID		
Please state the number of options that were exercised or other +convertible securities that were	The first date the options were exercise or other +convertible securities were converted	d The last date the options were exercised o other +convertible securities were converted
converted 12,000.000	16/7/2024	16/7/2024
12,000,000		
all of those convertible securities now be No	een converted)?	ve all of those options now been exercised or h
The right of the holder of the options or on An issue of new +securities	other +convertible securities to receive the	+underlying securities is being satisfied by:
The underlying +securities being receive		
Intended to be, but are not yet, quoted by A Were the options being exercised or othe Yes		ssued under an +employee incentive scheme
Were the options being exercised or othe Yes	er +convertible securities being converted i	
Were the options being exercised or othe Yes	er +convertible securities being converted i	ssued under an +employee incentive scheme rted held by +key management personnel (KM
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes	er +convertible securities being converted i r other +convertible securities being conve	rted held by +key management personnel (KM
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes	er +convertible securities being converted i	rted held by +key management personnel (KM
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes	er +convertible securities being converted i r other +convertible securities being conve	orted held by +key management personnel (KM convertible securities Number of options being exercised or
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting	erted held by +key management personnel (KM convertible securities Number of options being exercised or other +convertible securities
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes	er +convertible securities being converted i r other +convertible securities being conve	orted held by +key management personnel (KM convertible securities Number of options being exercised or
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting	erted held by +key management personnel (KM convertible securities Number of options being exercised or other +convertible securities
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder	erted held by +key management personnel (KM convertible securities Number of options being exercised or other +convertible securities being converted
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder	erted held by +key management personnel (KM convertible securities Number of options being exercised or other +convertible securities being converted
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP Nicholas Liveris	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder	erted held by +key management personnel (KM convertible securities Number of options being exercised or other +convertible securities being converted 1,000,000
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP Nicholas Liveris	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder	erted held by +key management personnel (KM convertible securities Number of options being exercised or other +convertible securities being converted 1,000,000
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP Nicholas Liveris Chris Burns	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder Nicholas Liveris BNP Paribas Nominees Pty Ltd	<ul> <li>arted held by +key management personnel (KM</li> <li>convertible securities</li> <li>Number of options being exercised or other +convertible securities</li> <li>being converted</li> <li>1,000,000</li> <li>1,000,000</li> </ul>
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP Nicholas Liveris Chris Burns	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder Nicholas Liveris BNP Paribas Nominees Pty Ltd	<ul> <li>arted held by +key management personnel (KM</li> <li>convertible securities</li> <li>Number of options being exercised or other +convertible securities</li> <li>being converted</li> <li>1,000,000</li> <li>1,000,000</li> </ul>
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP Nicholas Liveris Chris Burns	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder Nicholas Liveris BNP Paribas Nominees Pty Ltd	erted held by +key management personnel (KM convertible securities Number of options being exercised or other +convertible securities being converted 1,000,000 1,000,000
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP Nicholas Liveris Chris Burns Robert Natter	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder Nicholas Liveris BNP Paribas Nominees Pty Ltd	<ul> <li>arted held by +key management personnel (KM</li> <li>convertible securities</li> <li>Number of options being exercised or other +convertible securities</li> <li>being converted</li> <li>1,000,000</li> <li>1,000,000</li> </ul>
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP Nicholas Liveris Chris Burns Robert Natter	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder Nicholas Liveris BNP Paribas Nominees Pty Ltd	<ul> <li>arted held by +key management personnel (KM</li> <li>convertible securities</li> <li>Number of options being exercised or other +convertible securities</li> <li>being converted</li> <li>1,000,000</li> <li>1,000,000</li> </ul>
Were the options being exercised or othe Yes Are any of the options being exercised o an +associate? Yes Provide details of the KMP or +associate Name of KMP Nicholas Liveris Chris Burns Robert Natter	er +convertible securities being converted i r other +convertible securities being conve s who are exercising options or converting Name of registered holder Nicholas Liveris BNP Paribas Nominees Pty Ltd	<pre>verted held by +key management personnel (KM convertible securities Number of options being exercised or other +convertible securities being converted 1,000,000 1,000,000 1,000,000</pre>



Issue details

Number of +securities to be quoted	
3,415,759	
Are the +securities being issued for a cash consideration?	,
/es	
n what currency is the cash consideration being paid?	What is the issue price per +security?
AUD - Australian Dollar	AUD 0.69895500
Any other information the entity wishes to provide about the	he +securities to be quoted

Appendix 2A - Application for quotation of securities

## Part 4 - Issued capital following quotation

#### Following the quotation of the +securities the subject of this application, the issued capital of the entity will comprise:

The figures in parts 4.1 and 4.2 below are automatically generated and may not reflect the entity's current issued capital if other Appendix 2A, Appendix 3G or Appendix 3H forms are currently with ASX for processing.

# 4.1 Quoted +securities (total number of each +class of +securities quoted on ASX following the +quotation of the +securities subject of this application)

ASX +security code and description	Total number of +securities on issue
NVX : ORDINARY FULLY PAID	492,717,579
4.2 Unquoted +securities (total number of each +class of +securities issued but not quoted on ASX)	
ASX +security code and description	Total number of +securities on issue
NVXAD : PERFORMANCE RIGHTS	25,841,570
IVXAA : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	16,166,667
NVXAG : SHARE RIGHTS	698,295
NVXAL : CONVERTIBLE NOTES	45,221,586
ix 2A - Application for quotation of securities	6 / 6