UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of October, 2023

001-41208 (Commission File Number)

NOVONIX LIMITED

(Translation of registrant's name into English)

Level 38
71 Eagle Street
Brisbane, QLD 4000 Australia
(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F. Form 20-F ⊠ Form 40-F □	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): \Box	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): \Box	

EXHIBIT INDEX

Exhibit No. Description

Exhibit 99.1 <u>Appendix 2A (Application for Quotation of Securities) dated October 20, 2023</u>

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NOVONIX LIMITED

By: <u>/s/ Dr. John Christopher Burns</u> Dr. John Christopher Burns Chief Executive Officer

Date: October 20, 2023

EXHIBIT 99.1



Announcement	Summan
Announcement	Summary

Entity name

NOVONIX LIMITED

Announcement Type

New announcement

Date of this announcement

Friday October 20, 2023

The +securities to be quoted are:

🗷 +Securities issued, transferred or re-classified as a result of options being exercised or other +convertible securities being converted

Total number of +securities to be quoted

ASX +security code	Security description	Number of +securities to be quoted Issue date		
NVX	ORDINARY FULLY PAID	18,174 20/10/2023		

Refer to next page for full details of the announcement

Application for quotation of +securities



Part 1 - Entity and announcement details

1.1 Name of entity

NOVONIX LIMITED

We (the entity named above) apply for +quotation of the following +securities and agree to the matters set out in Appendix 2A of the ASX Listing Rules

1.2 Registered number type

Registration number

ACN

157690830

1.3 ASX issuer code

NVX

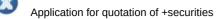
1.4 The announcement is

New announcement

1.5 Date of this announcement

20/10/2023

Application for quotation of +securities



Part 2 - Type of Issue

2.1 The +securities to be quoted are:

🗷 +Securities issued, transferred or re-classified as a result of options being exercised or other +convertible securities being converted

2.2 The +securities to be quoted are:

☑ Additional +securities in a class that is already quoted on ASX ("existing class")

Application for quotation of +securities



Part 3B - number and type of +securities to be quoted (existing class) where issue has not previously been notified to ASX in an Appendix 3B

Existing +securities converting into additional +securities in an existing class

FROM (Existing Class)
ASX +security code and description

NVXAD: PERFORMANCE RIGHTS

TO (Existing Class)
ASX +security code and description

NVX: ORDINARY FULLY PAID

Please state the number of options that were exercised or other +convertible securities that were converted

The first date the options were exercised or other +convertible securities were converted 20/10/2023

The last date the options were exercised or other +convertible securities were converted

20/10/2023

31,714

Is this all of the options or other +convertible securities on issue of that type (ie have all of those options now been exercised or have all of those convertible securities now been converted)?

☑ No

The right of the holder of the options or other +convertible securities to receive the +underlying securities is being satisfied by:
☑ An issue of new +securities

The underlying securities being received by the holder are:

☑ Intended to be, but are not yet, quoted by ASX

Were the options being exercised or other +convertible securities being converted issued under an +employee incentive scheme?

✓ Yes

Are any of the options being exercised or other +convertible securities being converted held by +key management personnel (KMP) or an +associate?

☑ No

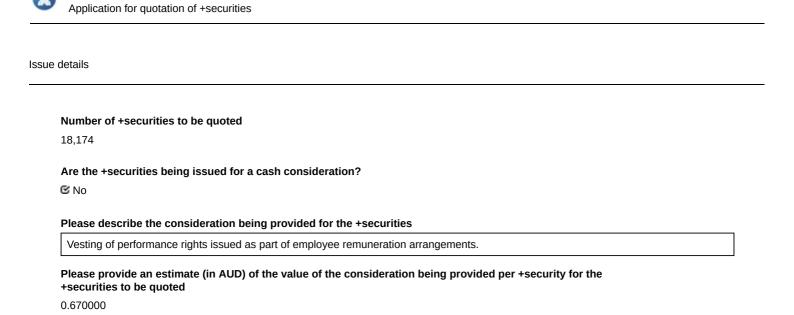
Issue date

20/10/2023

Will the +securities to be quoted rank equally in all respects from their issue date with the existing issued +securities in that class?

Yes

Application for quotation of +securities



Application for quotation of +securities

Any other information the entity wishes to provide about the +securities to be quoted



Part 4 - Issued capital following quotation

Following the quotation of the +securities the subject of this application, the issued capital of the entity will comprise: (A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

4.1 Quoted +securities (total number of each +class of +securities quoted on ASX following the +quotation of the +securities subject of this application)

ASX +security code and description

Total number of +securities on issue

NVX : ORDINARY FULLY PAID 488,460,194

4.2 Unquoted +securities (total number of each +class of +securities issued but not quoted on ASX)

ASX +security code and description

Total number of +securities on issue

NVXAD : PERFORMANCE RIGHTS 14,005,922

NVXAA : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES 28,360,001

NVXAL: CONVERTIBLE NOTES 45,221,586

Application for quotation of +securities