# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 6-K

# REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of December, 2024

001-41208 (Commission File Number)

# **NOVONIX LIMITED**

(Translation of registrant's name into English)

Level 38

71 Eagle Street Brisbane, QLD 4000 Australia (Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F. Form 20-F  $\boxtimes$  Form 40-F  $\square$ 

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

## EXHIBIT INDEX

Exhibit No. Description

Exhibit 99.1 Appendix 3H (Notification of cessation of securities) dated December 9, 2024

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## NOVONIX LIMITED

By: <u>/s/ Dr. John Christopher Burns</u> Dr. John Christopher Burns Chief Executive Officer

Date: December 9, 2024



Announcement Summary

Entity name

NOVONIX LIMITED

Announcement Type

New announcement

Date of this announcement

Monday December 09, 2024

Details of +securities that have ceased

| ASX +security<br>code | Security description                 | Number of<br>+securities that The +securities have<br>have ceased ceased due to  | Date of cessation |
|-----------------------|--------------------------------------|--|-------------------|
| NVXAD                 | PERFORMANCE RIGHTS                   | 724,205 Lapse of conditional right to<br>securities because the conditions<br>have not been, or have become<br>incapable of being, satisfied | 09/12/2024        |
| Refer to next page f  | for full details of the announcement |  |                   |

Appendix 3H - Notification of cessation of securities

EXHIBIT 99.1



## Part 1 - Announcement Details

| 1.1 Name of +Entity                                      |                                 |
|--|---------------------------------|
| NOVONIX LIMITED  |                                 |
| We (the entity named above) provide the following inform | ation about our issued capital. |
| 1.2 Registered Number Type                               | Registration Number             |
| ACN  | 157690830                       |
| 1.3 ASX issuer code                                      |                                 |
| NVX  |                                 |
| 1.4 The announcement is<br>New announcement              |                                 |
| 1.5 Date of this announcement                            |                                 |
| 9/12/2024  |                                 |

Appendix 3H - Notification of cessation of securities

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### Part 2 - Details of +equity securities or +debt securities that have ceased

| ASX +Security Code and Descri       | ption   |
|-------------------------------------|---|
| NVXAD : PERFORMANCE RIGH            | TS  |
| Unquoted +equity securities that    | t have ceased   |
| Number of securities that have      | ceased  |
| 724,205                             |   |
| Reason for cessation                |   |
| Lapse of conditional right to secur | ties because the conditions have not been, or have become incapable of being, satisfied |
| Date of cessation                   | Is the entity paying any consideration for the cessation?                               |
| 9/12/2024                           | No  |

Any other information the entity wishes to notify to ASX about the cessation?

Appendix 3H - Notification of cessation of securities

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#### Part 3 - Issued capital following changes

#### Following the cessation of the +securities the subject of this notification, the issued capital of the entity will comprise:

The figures in parts 3.1 and 3.2 below are automatically generated and may not reflect the entity's current issued capital if other Appendix 2A, Appendix 3G or Appendix 3H forms are currently with ASX for processing.

#### 3.1 Quoted +equity securities and +debt securities (total number of each +class of +securities quoted on ASX)

| ASX +security code and description | Total number of<br>+securities on issue |
|------------------------------------|---|
| NVX : ORDINARY FULLY PAID          | 567,828,964                             |

#### 3.2 Unquoted +equity securities (total number of each +class of +equity securities issued but not quoted on ASX)

| ASX +security code and description                      | Total number of<br>+securities on issue |
|---|---|
| NVXAD : PERFORMANCE RIGHTS                              | 21,080,078                              |
| NVXAA : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES | 13,666,667                              |
| NVXAG : SHARE RIGHTS                                    | 632,890                                 |
| NVXAL : CONVERTIBLE NOTES                               | 45,221,586                              |

Note: the figures stated in the tables above are used to calculate the total market capitalisation of the entity published by ASX from time to time. The table will not include those classes of +securities that have ceased or lapsed in their entirety in ASX records before the announcement date described in Q1.5, even if the entity has advised ASX of a change to that class of +security in Part 2 of this form.

Appendix 3H - Notification of cessation of securities