# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 6-K

# REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of December, 2024

001-41208 (Commission File Number)

# **NOVONIX LIMITED**

(Translation of registrant's name into English)

Level 38
71 Eagle Street
Brisbane, QLD 4000 Australia
(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F. Form 20-F $\boxtimes$ Form 40-F $\square$	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): □	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): □	

#### EXHIBIT INDEX

# Exhibit No. Description

Exhibit 99.1 Appendix 3H (Notification of cessation of securities) dated December 10, 2024
Exhibit 99.2 Appendix 3H (Notification of cessation of securities) dated December 10, 2024

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# NOVONIX LIMITED

By: /s/ Dr. John Christopher Burns Dr. John Christopher Burns Chief Executive Officer

Date: December 10, 2024



EXHIBIT 99.1

#### Announcement Summary

**Entity name** 

NOVONIX LIMITED

**Announcement Type** 

New announcement

Date of this announcement

Tuesday December 10, 2024

Details of +securities that have ceased

ASX +security code	Security description		: The +securities have ceased due to	Date of cessation
NVXAA	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	2,500,000	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	09/12/2024

Refer to next page for full details of the announcement

Appendix 3H - Notification of cessation of securities



#### Part 1 - Announcement Details

# 1.1 Name of +Entity

NOVONIX LIMITED

We (the entity named above) provide the following information about our issued capital.

# 1.2 Registered Number Type

**Registration Number** 

ACN 157690830

#### 1.3 ASX issuer code

NVX

#### 1.4 The announcement is

New announcement

#### 1.5 Date of this announcement

10/12/2024

Appendix 3H - Notification of cessation of securities



#### Part 2 - Details of +equity securities or +debt securities that have ceased

# **ASX +Security Code and Description**

NVXAA: OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

2,500,000

#### Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

Is the entity paying any consideration for the cessation?

9/12/2024

Any other information the entity wishes to notify to ASX about the cessation?	

Appendix 3H - Notification of cessation of securities



#### Part 3 - Issued capital following changes

#### Following the cessation of the +securities the subject of this notification, the issued capital of the entity will comprise:

The figures in parts 3.1 and 3.2 below are automatically generated and may not reflect the entity's current issued capital if other Appendix 2A, Appendix 3G or Appendix 3H forms are currently with ASX for processing.

3.1 Quoted +equity securities and +debt securities (total number of each +class of +securities quoted on ASX)

ASX +security code and description

Total number of +securities on issue

NVX: ORDINARY FULLY PAID 567,828,964

3.2 Unquoted +equity securities (total number of each +class of +equity securities issued but not quoted on ASX)

ASX +security code and description

+securities on issue

Total number of

NVXAD : PERFORMANCE RIGHTS 21,804,283

NVXAA: OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES 11,166,667

NVXAG: SHARE RIGHTS 632,890

NVXAL: CONVERTIBLE NOTES 45,221,586

Note: the figures stated in the tables above are used to calculate the total market capitalisation of the entity published by ASX from time to time. The table will not include those classes of +securities that have ceased or lapsed in their entirety in ASX records before the announcement date described in Q1.5, even if the entity has advised ASX of a change to that class of +security in Part 2 of this form.

Appendix 3H - Notification of cessation of securities

EXHIBIT 99.2

# **Announcement Summary**

**Entity name** 

NOVONIX LIMITED

**Announcement Type** 

New announcement

Date of this announcement

Tuesday December 10, 2024

Details of +securities that have ceased

ASX +security code	Security description		The +securities have ceased due to	Date of cessation
NVXAA	OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	2,500,000	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	09/12/2024

Refer to next page for full details of the announcement

Appendix 3H - Notification of cessation of securities



#### Part 1 - Announcement Details

#### 1.1 Name of +Entity

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# 1.2 Registered Number Type

**Registration Number** 

ACN

157690830

# 1.3 ASX issuer code

NVX

#### 1.4 The announcement is

New announcement

#### 1.5 Date of this announcement

10/12/2024

Appendix 3H - Notification of cessation of securities



#### Part 2 - Details of +equity securities or +debt securities that have ceased

# **ASX +Security Code and Description**

NVXAA: OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

Unquoted +equity securities that have ceased

Number of securities that have ceased

2,500,000

#### Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

Is the entity paying any consideration for the cessation?

9/12/2024

Any	Any other information the entity wishes to notify to ASX about the cessation?				
		_			

Appendix 3H - Notification of cessation of securities



#### Part 3 - Issued capital following changes

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Appendix 3H - Notification of cessation of securities