# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 6-K

# REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of December, 2024

001-41208 (Commission File Number)

# **NOVONIX LIMITED**

(Translation of registrant's name into English)

Level 38
71 Eagle Street
Brisbane, QLD 4000 Australia
(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.  Form 20-F ⊠ Form 40-F □	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): □	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): $\Box$	

#### EXHIBIT INDEX

#### Exhibit No. Description

Exhibit 99.1 <u>Appendix 3H (Notification of cessation of securities) dated December 30, 2024</u>
Exhibit 99.2 <u>ASX Announcement (Investor Events) announced December 31, 2024</u>

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### NOVONIX LIMITED

By: /s/ Dr. John Christopher Burns Dr. John Christopher Burns Chief Executive Officer

Date: December 31, 2024



EXHIBIT 99.1

#### Announcement Summary

**Entity name** 

NOVONIX LIMITED

**Announcement Type** 

New announcement

Date of this announcement

Monday December 30, 2024

Details of +securities that have ceased

ASX +security code	Security description		The +securities have ceased due to	Date of cessation
NVXAD	PERFORMANCE RIGHTS	•	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	30/12/2024

Refer to next page for full details of the announcement

Appendix 3H - Notification of cessation of securities



#### Part 1 - Announcement Details

#### 1.1 Name of +Entity

NOVONIX LIMITED

We (the entity named above) provide the following information about our issued capital.

#### 1.2 Registered Number Type

**Registration Number** 

ABN

54157690830

#### 1.3 ASX issuer code

NVX

#### 1.4 The announcement is

New announcement

#### 1.5 Date of this announcement

30/12/2024

Appendix 3H - Notification of cessation of securities



#### Part 2 - Details of +equity securities or +debt securities that have ceased

#### **ASX +Security Code and Description**

NVXAD: PERFORMANCE RIGHTS

Unquoted +equity securities that have ceased

Number of securities that have ceased

32,493

#### Reason for cessation

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

Date of cessation

Is the entity paying any consideration for the cessation?

30/12/2024

#### Any other information the entity wishes to notify to ASX about the cessation?

Lapse of employee performance rights on cessation of employment.

Appendix 3H - Notification of cessation of securities



#### Part 3 - Issued capital following changes

#### Following the cessation of the +securities the subject of this notification, the issued capital of the entity will comprise:

The figures in parts 3.1 and 3.2 below are automatically generated and may not reflect the entity's current issued capital if other Appendix 2A, Appendix 3G or Appendix 3H forms are currently with ASX for processing.

3.1 Quoted +equity securities and +debt securities (total number of each +class of +securities quoted on ASX)

ASX +security code and description

Total number of +securities on issue

NVX : ORDINARY FULLY PAID

567,941,993

3.2 Unquoted +equity securities (total number of each +class of +equity securities issued but not quoted on ASX)

ASX +security code and description

Total number of +securities on issue

NVXAD : PERFORMANCE RIGHTS

NVXAA: OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

11,166,667

21,016,831

**NVXAG: SHARE RIGHTS** 

632,890

**NVXAL: CONVERTIBLE NOTES** 

45,221,586

Note: the figures stated in the tables above are used to calculate the total market capitalisation of the entity published by ASX from time to time. The table will not include those classes of +securities that have ceased or lapsed in their entirety in ASX records before the announcement date described in Q1.5, even if the entity has advised ASX of a change to that class of +security in Part 2 of this form.

Appendix 3H - Notification of cessation of securities



#### **ASX ANNOUNCEMENT**

(ASX: NVX)

## **NOVONIX Announces Upcoming Investor Events**

**BRISBANE**, Australia, December 31, 2024 - NOVONIX Limited (NASDAQ: NVX, ASX: NVX) ("NOVONIX"), a leading battery materials and technology company, today announced that the company is scheduled to participate in the following upcoming investor events in January and February 2025:

- Goldman Sachs Energy, CleanTech & Utilities Conference to be held January 7-8<sup>th</sup> in Aventura, FL.
- Maxim Group's 2025 Mining Conference: Mining & Supplying Critical Minerals & Precious Metals will be hosting Dr. Chris Burns for a fireside chat on January 16<sup>th</sup> at 9:30 pm EST.
- NOVONIX plans to release its fourth quarter 2024 Quarterly Activities Report on January 30, 2025. Dr. Chris Burns will also provide prepared remarks of the quarterly activities for the quarter ended December 31, 2024. The webcast link and presentation materials will be available January 30, 2025, at 8:30 am AEDT or January 29, 2025, at 4:30 pm EST after markets close for interested parties in the United States.
- BMO will be hosting a virtual graphite CEO panel webcast on February 6<sup>th</sup> which will include Dr. Chris Burns as a panelist.

Presentation materials and available webcast links will be posted prior to each event on the NOVONIX investor relations website events page.

This announcement has been authorized for release by NOVONIX Chairman, Admiral Robert J. Natter, USN Ret.

#### **About NOVONIX**

NOVONIX is a leading battery technology company revolutionizing the global lithium-ion battery industry with innovative, sustainable technologies, high-performance materials, and more efficient production methods. The company manufactures industry-leading battery cell testing equipment, is growing its high-performance synthetic graphite anode material manufacturing operations, and has developed a patented all-dry, zero-waste cathode synthesis process. Through advanced R&D capabilities, proprietary technology, and strategic partnerships, NOVONIX has gained a prominent position in the electric

vehicle and energy storage systems battery industry and is powering a cleaner energy future. To learn more, visit us at www.novonixgroup.com or on LinkedIn and X.

#### For NOVONIX Limited

Scott Espenshade, ir@novonixgroup.com (investors) Stephanie Reid, media@novonixgroup.com (media)

ACN 157 690 830, Level 38, 71 Eagle Street, Brisbane QLD 4000, Australia | novonixgroup.com