

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 6-K**

**REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE  
SECURITIES EXCHANGE ACT OF 1934**

For the month of October, 2024

001-41208

(Commission File Number)

**NOVONIX LIMITED**

(Translation of registrant's name into English)

Level 38

71 Eagle Street

Brisbane, QLD 4000 Australia

(Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.

Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

---

## EXHIBIT INDEX

<b><u>Exhibit No.</u></b>	<b><u>Description</u></b>
Exhibit 99.1	<a href="#">Appendix 3H (Notification of cessation of securities) dated October 2, 2024</a>
Exhibit 99.2	<a href="#">Appendix 2A (Application for quotation of securities) dated October 2, 2024</a>

---

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### NOVONIX LIMITED

By: /s/ Dr. John Christopher Burns  
Dr. John Christopher Burns  
Chief Executive Officer

Date: October 2, 2024

---



Announcement Summary

---

**Entity name**

NOVONIX LIMITED

**Announcement Type**

New announcement

**Date of this announcement**

Wednesday October 02, 2024

**Details of +securities that have ceased**

<b>ASX +security code</b>	<b>Security description</b>	<b>Number of +securities that have ceased</b>	<b>The +securities have ceased due to</b>	<b>Date of cessation</b>
NVXAD	PERFORMANCE RIGHTS	258,133	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	02/10/2024

Refer to next page for full details of the announcement



Part 1 - Announcement Details

---

**1.1 Name of +Entity**

NOVONIX LIMITED

We (the entity named above) provide the following information about our issued capital.

**1.2 Registered Number Type**

ACN

**Registration Number**

157690830

**1.3 ASX issuer code**

NVX

**1.4 The announcement is**

New announcement

**1.5 Date of this announcement**

2/10/2024



Part 2 - Details of +equity securities or +debt securities that have ceased

---

**ASX +Security Code and Description**

NVXAD : PERFORMANCE RIGHTS

**Unquoted +equity securities that have ceased Number of securities that have ceased** 258,133

**Reason for cessation**

Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied

**Date of cessation**

2/10/2024

**Is the entity paying any consideration for the cessation?**

No

**Any other information the entity wishes to notify to ASX about the cessation?**



## Part 3 - Issued capital following changes

**Following the cessation of the +securities the subject of this notification, the issued capital of the entity will comprise:**

The figures in parts 3.1 and 3.2 below are automatically generated and may not reflect the entity's current issued capital if other Appendix 2A, Appendix 3G or Appendix 3H forms are currently with ASX for processing.

**3.1 Quoted +equity securities and +debt securities (total number of each +class of +securities quoted on ASX)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
NVX : ORDINARY FULLY PAID	493,746,995

**3.2 Unquoted +equity securities (total number of each +class of +equity securities issued but not quoted on ASX)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
NVXAD : PERFORMANCE RIGHTS	19,998,543
NVXAA : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	13,666,667
NVXAG : SHARE RIGHTS	632,890
NVXAL : CONVERTIBLE NOTES	45,221,586

Note: the figures stated in the tables above are used to calculate the total market capitalisation of the entity published by ASX from time to time. The table will not include those classes of +securities that have ceased or lapsed in their entirety in ASX records before the announcement date described in Q1.5, even if the entity has advised ASX of a change to that class of +security in Part 2 of this form.

Announcement Summary

**Entity name**

NOVONIX LIMITED

**Announcement Type**

New announcement

**Date of this announcement**

Wednesday October 02, 2024

**The +securities to be quoted are:**

+Securities issued, transferred or re-classified as a result of options being exercised or other +convertible securities being converted

**Total number of +securities to be quoted**

<b>ASX +security code</b>	<b>Security description</b>	<b>Number of +securities to be quoted</b>	<b>Issue date</b>
NVX	ORDINARY FULLY PAID	2,479	02/10/2024

Refer to next page for full details of the announcement





Part 1 - Entity and announcement details

---

**1.1 Name of entity**

NOVONIX LIMITED

We (the entity named above) apply for +quotation of the following +securities and agree to the matters set out in Appendix 2A of the ASX Listing Rules.

**1.2 Registered number type**

ACN

**Registration number**

157690830

**1.3 ASX issuer code**

NVX

**1.4 The announcement is**

New announcement

**1.5 Date of this announcement**

2/10/2024



Part 2 - Type of Issue

---

**2.1 The +securities to be quoted are:**

+Securities issued, transferred or re-classified as a result of options being exercised or other +convertible securities being converted

**2.2 The +class of +securities to be quoted is:**

Additional +securities in a class that is already quoted on ASX ("existing class")

Part 3B - number and type of +securities to be quoted (existing class) where issue has not previously been notified to ASX in an Appendix 3B

---

**Existing +securities converting into additional +securities in an existing class**

**FROM (Existing Class)**

**ASX +security code and description**

NVXAD : PERFORMANCE RIGHTS

**TO (Existing Class)**

**ASX +security code and description**

NVX : ORDINARY FULLY PAID

**Please state the number of options that were exercised or other +convertible securities that were converted**

5,390

**The first date the options were exercised or other +convertible securities were converted**

2/10/2024

**The last date the options were exercised or other +convertible securities were converted**

2/10/2024

**Is this all of the options or other +convertible securities on issue of that type (ie have all of those options now been exercised or have all of those convertible securities now been converted)?**

No

**The right of the holder of the options or other +convertible securities to receive the +underlying securities is being satisfied by:**

An issue of new +securities

**The underlying +securities being received by the holder are:**

Intended to be, but are not yet, quoted by ASX

**Were the options being exercised or other +convertible securities being converted issued under an +employee incentive scheme?**

Yes

**Are any of the options being exercised or other +convertible securities being converted held by +key management personnel (KMP) or an +associate?**

No

**Issue date**

2/10/2024

**Will the +securities to be quoted rank equally in all respects from their issue date with the existing issued +securities in that class?**

Yes



Issue details

---

**Number of +securities to be quoted**

2,479

**Are the +securities being issued for a cash consideration?**

No

**Please describe the consideration being provided for the +securities**

Issue of ordinary shares on vesting of employee performance rights.

**Please provide an estimate (in AUD) of the value of the consideration being provided per +security for the +securities to be quoted**

0.705000

**Any other information the entity wishes to provide about the +securities to be quoted**

Part 4 - Issued capital following quotation

---

**Following the quotation of the +securities the subject of this application, the issued capital of the entity will comprise:**

The figures in parts 4.1 and 4.2 below are automatically generated and may not reflect the entity's current issued capital if other Appendix 2A, Appendix 3G or Appendix 3H forms are currently with ASX for processing.

**4.1 Quoted +securities (total number of each +class of +securities quoted on ASX following the +quotation of the +securities subject of this application)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
NVX : ORDINARY FULLY PAID	493,749,474

**4.2 Unquoted +securities (total number of each +class of +securities issued but not quoted on ASX)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
NVXAD : PERFORMANCE RIGHTS	20,251,286
NVXAA : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	13,666,667
NVXAG : SHARE RIGHTS	632,890
NVXAL : CONVERTIBLE NOTES	45,221,586

