# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 6-K

# REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of January, 2024

001-41208 (Commission File Number)

# **NOVONIX LIMITED**

(Translation of registrant's name into English)

Level 38 71 Eagle Street Brisbane, QLD 4000 Australia (Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F. Form 20-F  $\boxtimes$  Form 40-F  $\square$ 

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

<u>Exhibit No.</u>	<b>Description</b>
Exhibit 99.1	Appendix 3H (Notification of Cessation of Securities) dated January 9, 2024

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## NOVONIX LIMITED

By: <u>/s/ Dr. John Christopher Burns</u> Dr. John Christopher Burns Chief Executive Officer

Date: January 9, 2024

#### Announcement Summary

## Entity name

NOVONIX LIMITED

#### Announcement Type

New announcement

#### Date of this announcement

Tuesday January 09, 2024

#### Details of +securities that have ceased

ASX +security code	Security description	Number of +securities that 1 have ceased o	The +securities have seased due to	Date of cessation
NVXAD	PERFORMANCE RIGHTS	32,862	Lapse of conditional right to securities because the conditions have not been, or have become incapable of being, satisfied	31/12/2023
Refer to next pa	ge for full details of the announcement			
tification of cessation	cation of cessation of +securities			

## EXHIBIT 99.1

Part 1 - Announcement Details

#### 1.1 Name of +Entity

NOVONIX LIMITED

We (the entity named above) provide the following information about our issued capital.

## 1.2 Registered Number Type

ACN

**Registration Number** 157690830

# 1.3 ASX issuer code

NVX

**1.4 The announcement is** ☑ New announcement

#### 1.5 Date of this announcement

9/1/2024

Notification of cessation of +securities

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Part 2 - Details of +equity securities or +debt securities that have ceased

ACX   Cooperative Coole and Decou					
ASX +Security Code and Descr					
NVXAD : PERFORMANCE RIGH	ITS				
Unquoted +equity securities th	at have ceased				
Number of securities that have	ceased				
32,862					
Reason for cessation					
Lapse of conditional right to secu	rities because the conditions have not been, or have become incapable of being, satisfied				
Date of cessation	Is the entity paying any consideration for the cessation?				
31/12/2023	𝔅 No				
Any other information the entit	y wishes to notify to ASX about the cessation?				

Notification of cessation of +securities

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#### Part 3 - Issued capital following changes

Following the cessation of the +securities the subject of this notification, the issued capital of the entity will comprise:

3.1 Quoted +equity securities and +debt securities (total number of each +class of +securities quoted on ASX)

ASX +security code and description	Total number of +securities on issue
NVX : ORDINARY FULLY PAID	488,733,461

3.2 Unquoted +equity securities (total number of each +class of +equity securities issued but not quoted on ASX)

ASX +security code and description	Total number of +securities on issue
NVXAD : PERFORMANCE RIGHTS	13,428,370
NVXAA : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	28,210,001
NVXAL : CONVERTIBLE NOTES	45,221,586

Note: the figures stated in the tables above are used to calculate the total market capitalisation of the entity published by ASX from time to time. The table will not include those classes of +securities that have ceased or lapsed in their entirety in ASX records before the announcement date described in Q1.5, even if the entity has advised ASX of a change to that class of +security in Part 2 of this form.

Notification of cessation of +securities

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