### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 6-K

# REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of October, 2023

001-41208 (Commission File Number)

### NOVONIX LIMITED

(Translation of registrant's name into English)

Level 38 71 Eagle Street Brisbane, QLD 4000 Australia (Address of principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F. Form 20-F  $\boxtimes$  Form 40-F  $\square$ 

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

#### EXHIBIT INDEX

<u>Exhibit No.</u>	Description
Exhibit 99.1	Appendix 2A (Application for Quotation of Securities) dated October 24, 2023

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### NOVONIX LIMITED

By: <u>/s/ Dr. John Christopher Burns</u> Dr. John Christopher Burns Chief Executive Officer

Date: October 24, 2023

#### Announcement Summary

#### Entity name

NOVONIX LIMITED

#### Announcement Type

New announcement

#### Date of this announcement

Tuesday October 24, 2023

### The +securities to be quoted are:

Securities issued, transferred or re-classified as a result of options being exercised or other +convertible securities being converted

#### Total number of +securities to be quoted

ASX +security		Number of +securities to		
code	Security description	be quoted Issue date		
NVX	ORDINARY FULLY PAID	150,000 24/10/2023		
Refer to next pa	ge for full details of the announcement			
pplication for quotation	on of +securities 1	/6		

#### EXHIBIT 99.1

Part 1 - Entity and announcement details

#### 1.1 Name of entity

NOVONIX LIMITED

We (the entity named above) apply for +quotation of the following +securities and agree to the matters set out in Appendix 2A of the ASX Listing Rules.

#### 1.2 Registered number type

ACN

Registration number 157690830

#### 1.3 ASX issuer code

NVX

## **1.4 The announcement is** Series New announcement

#### 1.5 Date of this announcement

24/10/2023

Application for quotation of +securities



#### Part 2 - Type of Issue

#### 2.1 The +securities to be quoted are:

Securities issued, transferred or re-classified as a result of options being exercised or other +convertible securities being converted

#### 2.2 The +securities to be quoted are:

C Additional +securities in a class that is already quoted on ASX ("existing class")

Application for quotation of +securities

Part 3B - number and type of +securities to be quoted (existing class) where issue has not previously been notified to ASX in an Appendix 3B

#### Existing +securities converting into additional +securities in an existing class

FROM (Existing Class) ASX +security code and description

NVXAA : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES

TO (Existing Class) ASX +security code and description

NVX : ORDINARY FULLY PAID

Please state the number of options that were exercised or other +convertible securities that were converted	The first date the options were exercised or other +convertible securities were converted 24/10/2023	The last date the options were exercised or other +convertible securities were converted 24/10/2023
150,000		

Is this all of the options or other +convertible securities on issue of that type (ie have all of those options now been exercised or have all of those convertible securities now been converted)?

The right of the holder of the options or other +convertible securities to receive the +underlying securities is being satisfied by: Securities

The underlying securities being received by the holder are: ☑ Intended to be, but are not yet, quoted by ASX

Were the options being exercised or other +convertible securities being converted issued under an +employee incentive scheme? Yes

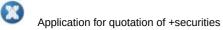
Are any of the options being exercised or other +convertible securities being converted held by +key management personnel (KMP) or an +associate?

Issue date

24/10/2023

Will the +securities to be quoted rank equally in all respects from their issue date with the existing issued +securities in that class?

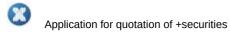
Application for quotation of +securities



Issue details

Number of +securities to be quoted 150,000	
Are the +securities being issued for a cash consideration?	
Yes	
In what currency is the cash consideration being paid?	What is the issue price per +security?
AUD - Australian Dollar	AUD 0.55000000
Any other information the entity wishes to provide about the +s	securities to be quoted

Application for quotation of +securities



Part 4 - Issued capital following quotation

Application for quotation of +securities

Following the quotation of the +securities the subject of this application, the issued capital of the entity will comprise: (A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)

4.1 Quoted +securities (total number of each +class of +securities quoted on ASX following the +quotation of the +securities subject of this application)

ASX +security code and description	Total number of +securities on issue		
NVX : ORDINARY FULLY PAID	488,610,194		
4.2 Unquoted +securities (total number of each +class of +securities issued but not quoted on ASX)			
ASX +security code and description	Total number of +securities on issue		
NVXAD : PERFORMANCE RIGHTS	14,005,922		
NVXAA : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	28,210,001		
NVXAL : CONVERTIBLE NOTES	45,221,586		